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Anders Lyhne Christensen, Rehan O'Grady, Mauro Birattari and Marco Dorigo

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### Exogenous Fault Detection in a Collective Robotic Task

Anders Lyhne Christensen, Rehan O'Grady, Mauro Birattari and Marco Dorigo

IRIDIA-CoDE, Université Libre de Bruxelles
50, Av. Franklin Roosevelt, CP 194/6
1050 Brussels, Belgium
alyhne@iridia.ulb.ac.be,{rogrady,mbiro,mdorigo}@ulb.ac.be

Abstract. Exogenous fault detection is the detection by a robot of faults that occur in another, physically separate robot. In this paper, we study exogenous fault detection in a collective leader-follower task for autonomous robots. We record sensory inputs from the robots while they are operating normally and after simulated faults have been injected. Given that faults are simulated and controlled, we can correlate the flow of sensory inputs with the fault state of the robots. We use back-propagation neural networks to synthesize fault detection components. We show that the flow of sensory inputs is sufficient information for performing exogenous fault detection, that is, we show that the leader robot is capable of detecting faults in the follower robot. All results are based on experiments with real robots.

#### 1 Introduction

Some faults are hard to detect in the robot in which they occur. These faults include software bugs that cause a robot to hang, sensor failures that prevent a robot from detecting that something is wrong, and mechanical faults such as a loose connection to a battery. In this study, we show how fault injection and learning can be applied in order to synthesize software components for exogenous fault detection in autonomous robots. We present a concrete method for obtaining components that let one robot detect faults in collaborating robots. The ability to perform exogenous fault detection can improve the reliability of multi-robot systems. If one of the constituent robots fails, other robots in the system can take corrective actions even if the failed robot is unable to detect or communicate that it has experienced a fault.

The method relies on recording sensory data, firstly over a period of time when the robots are operating as intended, and secondly over a period of time when simulated hardware faults have been injected. After the data collection phase, fault detection components in the form of backpropagation neural networks are synthesized through supervised learning. The method requires no special fault detection hardware and relatively few computational resources on the target system. The work presented in this paper is an extension of previous studies in which we have shown that the proposed method can be used to synthesize endogenous fault detection components for autonomous robots [1].

Technically, a fault is an unexpected change in system function which hampers or disturbs normal operation, causing unacceptable deterioration in performance. Fault detection is a binary decision process confirming whether or not a fault has occurred in a system. Fault detection is a single facet of the larger objective of ensuring fault tolerance. Other aspects of achieving fault tolerance for a system might include *fault diagnosis*, namely determining the type and location of faults, and *protection* which comprises any steps necessary to ensure continued safe operation of the system [2].

#### 2 Related Work

Fault detection is based on observations of a system's behavior. Deviations from normal behavior can be interpreted as symptoms resulting from a fault in the system. Several model-based

approaches have been proposed [2,3]. In model-based fault detection some model of the system or of how it is supposed to behave is constructed. The actual behavior is then compared to the predicted behavior and deviations can be interpreted as symptoms of faults. A deviation is called a residual, that is, the difference between the estimated and the observed value. As uncertainty and noise play a significant role, techniques such as artificial neural networks and radial basis function networks for residuals-based fault detection have been proposed [4–6].

Alternative approaches relying on multi-model estimation have been studied, see for instance [7, 8]. In these studies, banks of Kalman filters are applied to track multiple models with embedded fault states. Recently, computationally efficient approaches for approximating Bayesian belief using particle filters<sup>1</sup> have been suggested as a means for fault detection and identification [9–11].

Systems of multiple collaborating robots have the potential to achieve a high degree of fault tolerance. If one robot in such a system fails while performing a task, another one can take over and complete the task. In some cases, fault tolerance is an inherent property of the system and not handled explicitly. Lewis and Tan [12] have, for instance, shown that their control algorithm for maintaining geometric formations exhibits correct behavior even if one of the robots fails. However, the fault tolerance is a consequence of the adaptive nature of their controller design (robots attempt to maintain a virtual structure and do not let any robots fall behind) and not of explicit fault detection, diagnosis and protection.

Parker [13] has demonstrated that cooperating teams of robots based on the ALLIANCE software architecture can achieve a high degree of fault tolerance. Fault tolerance is obtained by modelling motivations mathematically and by adaptive task selection based on these motivations. If a robot experiences a fault in one or more of its components and if the fault degrades performance, the robot's motivation for performing its current task decreases. Eventually, the robot will switch to another task that it may still be able to perform. Alternatively, another robot will discover that there is limited or no progress in the task undertaken by the failed robot, and take over.

Other approaches, such as MURDOCH [14, 15] and TraderBots [16], use explicit communication and negotiation of task allocation. Fault detection and tolerance is built into the negotiation process.

In this paper we address the issue of how a robot can determine if a collaborating robot is not operating correctly. Exogenous fault detection is only based on sensory inputs. There is no explicit communication, nor is there any pre-specified behavior used to aid fault detection. We take techniques that we have successfully used in the past for endogenous fault detection and apply them to exogenous fault detection [1]. We apply a technique known as software implemented fault injection (SWIFI) used in dependable systems research. The technique is usually applied to measure the robustness and fault tolerance of software systems [17]. In our case, we inject faults to discover how sensory readings and the control signals sent to the actuators by the control program change when faults occur.

#### 3 The Robots and The Task

For the experiments, we use real robots known as s-bots [18] (see Fig. 1). The s-bot platform has been used for several studies in swarm intelligence and collective robotics [19–22]. Each s-bot is equipped with an Xscale CPU running at 400 MHz and several sensors including an omnidirectional camera and infrared proximity sensors. Each s-bot also has a number of actuators. These include differential treels (combined tracks and wheels) and 8 sets of RGB colored LEDs distributed around the circumference of the s-bot body. Using the omni-directional camera, one s-bot can see the colored LEDs on other s-bots up to 50 cm away depending on the light conditions.

We have chosen a simple follow the leader task in which two robots are placed in a 180x180 cm walled arena. One of the robots has been preassigned the leader role, while the other has been preassigned the follower role. The leader moves around in the environment. The follower tails

<sup>&</sup>lt;sup>1</sup> Particle filters are Monte Carlo methods capable of tracking hybrid state spaces of continuous noisy sensor data and discrete operation states.

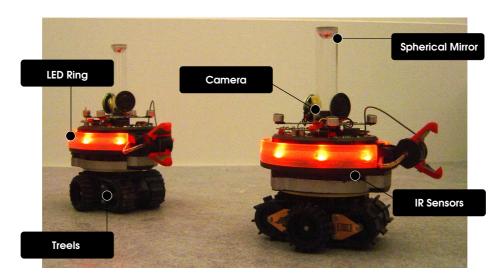


Fig. 1: Two *s-bots*, sensors and actuators. An *s-bot* has a diameter of 120 mm, a height of 190 mm, and weighs approximately 700 g.

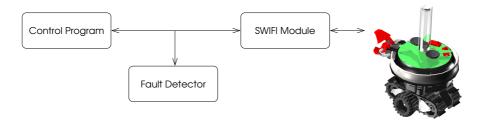


Fig. 2: The software architecture. The *Control Program* steers the robot, the *Fault Detector* monitors the inputs and the actions of the *Control Program*, and faults are injected and simulated by the *SWIFI Module*.

the *leader* and tries to stay at a distance of 35 cm. If the *follower* falls behind, the *leader* waits. During experiments, we inject faults in the *follower* robot.

#### 4 Software Architecture

Fig. 2 is an overview of the software architecture. The *Control Program* is responsible for steering the robot. It reads sensory inputs and sends control signals to the robot's actuators. The *Fault Detector* passively monitors the flow of sensory inputs and control signals that passes to and from the *Control Program*. Faults are injected and simulated by the *SWIFI Module*.

The Fault Detector component consists of a time-delay artificial neural network (TDNN). A TDNN is a feed-forward network that allows for reasoning based on time-varying inputs without the use of recurrent connections [23, 24]. In a TDNN, the input layer is logically organized into a number of groups. In each group, the activations of the neurons are set based on observations from a fixed distance into the past. TDNNs have been extensively used for time-series prediction due to their ability to make predictions based on data distributed in time. The TDNNs used in this study are normal multilayer perceptrons for which the inputs are taken from multiple, equally spaced points in a delay-line of past observations. Fig. 3 illustrates this concept. The current sensor inputs and control signals are stored in a tapped delay-line, and the activations of the neurons in the input layer are set based on data from the delay-line. The neurons from all input groups

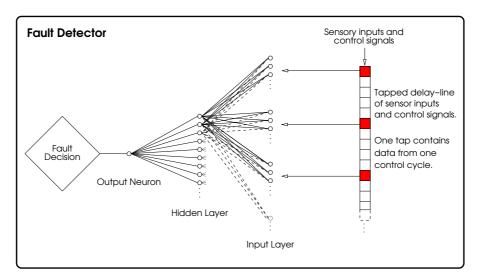


Fig. 3: An overview of the fault detection component, the tapped delay-line, and logic groups of input neurons.

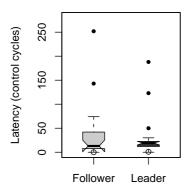
are fully connected to the neurons in the hidden layer. The term input group distance refers to the distance in time between adjacent input groups. In this study, we use an input group distance of 5 control cycles (as illustrated in Fig. 3), and TDNNs with hidden layer of 5 neurons and an input layer with a total of 10 input groups. Each input group consists of 15 neurons corresponding to the 15 infrared proximity sensors and 16 neurons set based on data extracted from the omnidirectional camera. Images from the camera are partitioned into 16 sections and each of the 16 neurons are assigned values inverse proportional to the distance of the closest object perceived in the corresponding section. The image processor has been configured to detect colored LEDs. This configuration means that the camera detects other s-bots only and not objects like walls.

The SWIFI Module is a software component in which faults are simulated. When the Control Program requests sensory readings or sends actuator control signals, these requests and commands pass through the SWIFI Module. If no fault is simulated the SWIFI Module forwards the calls to the robot hardware. After a simulated fault has been injected, actuator control signals are discarded while the SWIFI Module itself returns values consistent with the fault to any sensor reading requests. The nature of the simulated fault and the timing of its injection are specified before the Control Program starts.

#### 5 Experimental Setup

A total of 60 runs on real s-bots are performed. In each run, the robots start in perfect condition, and at some point during the run a fault is injected in the follower. The fault is injected at a random point in time after the first 5 seconds of the run and before the final 5 seconds of the run according to a uniform distribution. There is a 50% probability that a fault affects both treels instead of only one of the treels, and faults of the type stuck-at-zero and stuck-at-constant are equally likely to occur. A fault of the type stuck-at-zero means that the affected treel effectively blocks and stops to move. A stuck-at-constant fault means that the speed of the motor controlling a treel is set to a random value and the motor ceases to respond to control signals sent from the control program.

Each run consists of 1000 control cycles (equivalent to 150 seconds) and for each control cycle the sensory inputs, control signals, and the current fault state are recorded. The data sets are partitioned into two subsets, one consisting of data from 40 runs, which is used for training; and one consisting of the data from the remaining 20 runs, which is used for performance evaluation.



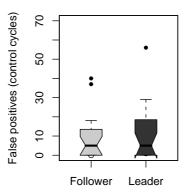


Fig. 4: Performance results using threshold-based classification for the *follower* performing endogenous fault detection and for the *leader* performing exogenous fault detection during the same runs. Left: Latency results. Right: False positive results.

After a network has been trained by a batch learning back-propagation algorithm, it is evaluated on data from one evaluation run at a time. The output of the network is recorded and compared to the fault state.

Fault detection is a binary decision problem and, in this study, we present results for threshold-based classification. If the TDNN outputs a value lower than the threshold we interpret it as a no fault classification, whereas we interpret outputs equal to or above the threshold as a fault classification. The interpreted output is compared to the correct output and fault detectors are scored on their latency and the number of false positives they produce.

Latency refers to the span of time from the moment a fault is injected until it is detected. False positives refers to the number of control cycles for which a fault detector is wrongly classifying the state as a *fault*. For this study, we have chosen the threshold to be 0.75. If we were to choose a lower threshold, we would expect a lower latency but more false positives. Similarly, if we were to choose a higher threshold we would expect a higher latency and fewer false positives.

#### 6 Results

Latency and false positive results from the 20 evaluation runs are shown in Fig. 4. Each sample point corresponds to the results of a single evaluation run. In the figure we have plotted results for the *follower* performing endogenous fault detection and for the *leader* performing exogenous fault detection during the same runs. The mean latency for the *follower* performing endogenous is 14 control cycles, while the mean latency for the *leader* performing exogenous fault detection is 19 control cycles. This difference of 5 control cycles corresponds to 750 ms. The mean number of false positives is 5 control cycles for both the endogenous and exogenous detection. In every trial, the fault injected was detected.

The results indicate that the *leader* robot is capable of detecting faults injected in the *follower*. Furthermore, the performance of the exogenous fault detection is comparable to the performance of the endogenous fault detection performed by the *follower*. The latency is, however, slightly higher for exogenous fault detection.

In order to obtain a fault detector that produces fewer false positives, we implemented a threshold-based classification scheme based on the moving average of the TDNN output value.

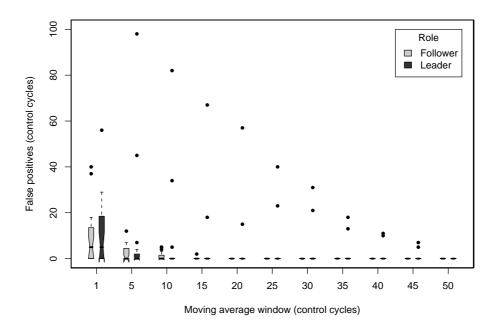


Fig. 5: False positives results for moving average threshold-based classification.

Hence, instead of interpreting the output of the TDNN directly, as above, a number of past values are stored, and the fault decision is based on the average of those values. Fig. 5 shows the false positive results for the different lengths of the moving average window. For moving average windows up to and including 10 control cycles, false positives occur in several trials. For longer windows, false positives are only observed in one or two trials. When a moving average window of length 50 is used, the exogenous fault detector produces no false positives.

For window lengths of 20-50, false positives for the *follower* performing endogenous fault detection occurred in one of the 20 trials. These results are not shown in Fig. 5, since they are outside the scale of the figure. The endogenous fault detector produced 173 false positives with a window length of 20 control cycles and 128 false positives with a window length of 50 cycles for the trial in question.

When the moving average is used, the latencies are increased by the length of the moving average window (results are not shown). Thus, introducing a threshold-based classification scheme based on the moving average of the TDNN output value can remove nearly all false positives, but this comes at the cost of a higher latency.

#### 7 Conclusion

In this study, we synthesized fault detection components for exogenous faults using fault injection and supervised learning. Our synthesizing technique does not distinguish between endogenous and exogenous faults. We achieved this transparency between endogenous and exogenous faults by avoiding the use of any explicit modelling. The method's only requirement is that a fault must create a detectable change in the detecting robot's flow of sensory input. Our method proved effective on real robots; one robot was able to detect faults injected in another robot without the use of explicit communication or any specific behavior written to aid fault detection.

Many faults cannot be detected endogenously. We therefore believe that the use of exogenous fault detection in combination with endogenous fault detection has the potential to significantly enhance the reliability and robustness of multi-robot systems. We are currently investigating the scalability of the proposed approach. One consideration is how to apply exogenous fault detection to systems with larger numbers of robots. When multiple robots with limited sensory range interact, it is unclear how a set of observations from one robot should be correlated with the fault state of other robots that may or may not be within sensory range. We are also investigating how larger numbers and a broader range of faults can be detected.

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